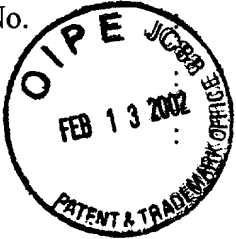


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Philip Lui et al.  
Appln. No. 09/989,716 Examiner : To be Assigned  
Filed November 20, 2001 Group Art Unit: 2173  
Title SYSTEM AND METHOD FOR DYNAMIC ASSISTANCE IN SOFTWARE APPLICATIONS USING BEHAVIOR AND HOST APPLICATION MODELS  
COPY OF PAPER  
ORIGINALLY FILED



BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO "NOTICE TO FILE MISSING PARTS OF APPLICATION--FILING DATE GRANTED"

Sir:

We have received a Notice To File Missing Parts of Application--Filing Date Granted mailed on November 30, 2001. We are returning herewith a copy of that Notice.

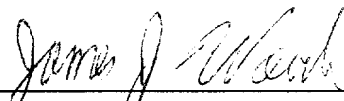
We are also enclosing herewith a check in the amount of \$498.00 which includes surcharge fee (\$65.00) and filing fee (\$433.00) for a small entity.

We are also enclosing substitute formal drawings (Figs. 1-16) in compliance with 37 CFR 1.84 as noted in the Missing Parts.

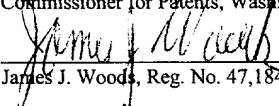
The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to our Deposit Account No. 02-4270.

Respectfully submitted,

Date: January 30, 2002

  
James J. Woods, Reg. No. 47,184  
BROWN RAYSMAN MILLSTEIN FELDER  
& STEINER LLP  
900 Third Avenue  
New York, New York 10022-4728  
(212) 895-2000

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as First Class Mail addressed to: Commissioner for Patents, Washington, D.C. 20231

  
James J. Woods, Reg. No. 47,184

January 30, 2002  
Date

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/989,716	11/20/2001	Philip Lui	1552/2A

COPY OF PAPERS  
 ORIGINALLY FILED

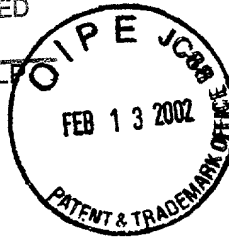
CONFIRMATION NO. 9617

FORMALITIES LETTER



\*OC00000007144046\*

Brown Raysman Millstein Felder & Steiner LLP  
 900 Third Avenue  
 New York, NY 10022



Date Mailed: 11/30/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$126.
  - \$126 for 7 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 996.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/19/2002 BSAYAS11 00000112 09989716

01 FC:201	370.00 OP
02 FC:203	65.00 OP
03 FC:205	65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

09/19/2002 09:56:00

GA

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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